



Maintaining SAFE Certification For

Individual Owner Operators (IOO) and SAFETY Log Users

Annual Audit Submission

SAFE Certification is valid for 3 years from the date of certification, providing all annual maintenance audit requirements are met. An IOO needs to conduct a maintenance audit and to submit an updated IOO SAFETY Log audit each calendar year after the original certification submission, and a recertification audit submission is required before the SAFE certification expiry date¹. The maintenance audit requirements are the same as the initial certification audit, but using records covering the time period since the previous audit.

For example, submission requirements for an IOO with a SAFE certification date of 15 June 2009:

- 1st maintenance audit – due before 15 June 2010, submit at least 6 months after certification date.
- 2nd maintenance audit – due before 15 June 2011, submit at least 6 months after 2010 submission.
- Recertification audit – due on or before 15 June 2012

Late audits submitted after December 31 containing information from the previous calendar year will be counted as an audit submission for the previous calendar year. The company will be required to submit an audit for the current calendar year to maintain SAFE Certification.

For example, 1st maintenance audit is due before 15 June 2010 but is submitted after 31 December 2010 and contains company safety information from 2010:

- The audit will be treated as a 2010 submission. Since the audit was submitted after December 31, 2010 the company will not be eligible for 2010 WSBC COR rebate.
- The 2nd maintenance audit is still required to be submitted for 2011 to maintain certification.

To avoid a lapse in certification, recertification audits must be submitted before the SAFE certification expiry date.

SAFE certification will become inactive for companies that fail to submit a maintenance audit by December 31st of that calendar year. These companies with late audits will not be eligible for a WorkSafeBC COR rebate for that year and their name will be removed from the SAFE certified list. SAFE certification will be reactivated when a maintenance audit submission is received. However, the company will not retroactively receive a COR rebate for a late audit.

¹ SAFE Certification expiry date is the month and day of certification, 3 years after initial certification date.

Inactive SAFE certification will be terminated when a company fails to submit a maintenance audit for 2 consecutive calendar years. A company with a terminated certification will have to get recertified.

General Information

Continuous Improvement

IOO should review the results of their audit submission provided by the Council to further improve their safety program.

Submission Requirements and Timing

Since maintenance audits provide an opportunity for companies to verify that all aspects of their health and safety management system are being maintained, there is no requirement that a pass mark be obtained in order to stay certified. Significant infractions may result in a requirement for further audits of an IOO's health and safety program to maintain the validity of the employer's SAFE certification.

Maintenance audit activities and audit submissions are to be completed to the same standard as certification audits and are subject to the same quality assurance review process. For IOO, documentation must be submitted for all the operating months since the previous audit.

It is recommended that you conduct your maintenance audits before the anniversary date of your initial certification and to avoid conducting the 2nd maintenance audit very close to your recertification audit.

Change in Company Size

A company's size may change during the 3-year certification period and it may be required to change the company's registration category.

- e.g. – A company SAFE certified as an IOO using the SAFETy Log has grown to >3 worker count; and anticipates remaining at that worker count for the long-term.

To change your company category, you must complete a SAFE Companies Status Change Form. Requests for status change pertaining to company size and audit requirements must be submitted prior to the date of upcoming audit submission and will require approval from the Council. Company registration information will be updated when the status change is approved. If there is a change in the certification level such as from IOO to SEBASE, a new certification will only be issued after a new SEBASE audit is submitted meeting Council requirements.

If you have any questions, please contact the Council for guidance.

To download a pdf of this information please [click here](#).